# External Measurement of Small Strain Quasi-elastic Deformation Properties of Toyoura Sand with Torsional Shear and Triaxial Apparatus

by

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#### **ABSTRACT**

Drained torsional shear and triaxial tests were performed on a hollow cylindrical specimen of Toyoura sand, which was consolidated isotropically after preparation by air-pluviation. Under several stress states, quasi-elastic deformation properties were measured with external displacement transducers by applying very small amplitude cyclic torsional and vertical loads. Under triaxial extension conditions of  $\sigma_v$ ' $<\sigma_h$ ', the shear modulus that was defined on vertical and horizontal planes was found to be basically a function of  $(\sigma_v$ ' $\sigma_h$ ')<sup>1/2</sup>, where  $\sigma_v$ ' and  $\sigma_h$ ' are the vertical and horizontal stresses, respectively. The results could also be explained by an existing cross-anisotropic hypo-quasi-elastic model, considering inherent and stress state-induced anisotropy in modeling of vertical and horizontal Young's moduli and Poisson's ratios. On the other hand, under triaxial compression conditions of  $\sigma_v$ ' $>\sigma_h$ ', degradation in the externally measured shear modulus and vertical Young's modulus was observed, while its extent was reduced by applying sustained shear stress on the vertical and horizontal planes. Such peculiar behaviors under triaxial compression conditions was estimated to be affected by non-uniform distribution of vertical and torsional shear stresses applied to the specimen.

#### INTRODUCTION

The shear modulus  $G_{vh}$  that was defined on the horizontal plane is one of the essential stress-strain properties of soils in analyzing their seismic responses. The  $G_{vh}$  values at small strain levels have been modeled as a function of stress states in a variety of forms (e.g., Jamiolkowski et al., 1995; Tatsuoka and Kohata, 1995), including:

$$G_{vh}/f(e) = G_{vh0}/f(e_0)(\sigma_v'/\sigma_0)^{n_v}(\sigma_h'/\sigma_0)^{n_h}$$
(1)

$$G_{vh}/f(e) = G_{vh0}/f(e_0)\{(\sigma_v' + \sigma_h')/(2\sigma_0)\}^n$$
(2)

$$G_{vh}/f(e) = G_{vh0}/f(e_0)\{(\sigma_v' + 2\sigma_h')/(3 \sigma_0)\}^n$$
(3)

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where,  $\sigma_v$ ' and  $\sigma_h$ ' are effective vertical (axial) and horizontal (radial) stresses, respectively; f(e) is a function of the current void ratio e to account for the change in the density during testing, set typically as  $f(e)=(2.17-e)^2/(1+e)$  referring to Hardin and Richart (1963);  $G_{vh0}$  is a value of  $G_{vh}$  under a reference isotropic stress state of  $\sigma_v$ '= $\sigma_h$ '= $\sigma_0$  at a reference void ratio of  $e_0$ ; and  $n_v$ ,  $n_h$  and  $n_v$  are parameters representing the dependency of  $G_{vh}$  values on the stress levels. Since it is generally assumed that  $n_v=n_h$  (Jamiolkowski et al., 1995), Eq. 1 can be modified with replacing them by n/2 as:

$$G_{vh}/f(e) = G_{vh0}/f(e_0) \{ (\sigma_v, \sigma_h, )^{1/2} / \sigma_0 \}^n$$
(4)

Recently, Tatsuoka et al. (1999) proposed a different type of formulation based on cross-anisotropic hypo-quasi-elastic modeling, as could be summarized into:

$$G_{vh} = E_v / \{2(1+v_0)\} \cdot \{2(1-v_0)/(1+aR^n-2a^{1/2}R^{n/2}v_0)\}$$
(5)

where,  $E_v$  is the vertical Young's modulus; R is the stress ratio defined as  $R=\sigma_v'/\sigma_h'$ ; a is a parameter representing the degree of inherent anisotoropy defined as a ratio of vertical and horizontal Young's moduli under isotropic stress states (i.e.,  $\alpha=E_v/E_h$  at  $\sigma_v'=\sigma_h'$ );  $\nu_0$  is a Poisson's ratio for the isotropic behavior (i.e.,  $\nu_0=\nu_{vh}=\nu_{hv}$  at  $R=a^{-1/n}$ , when the horizontal Young's modulus  $E_h$  becomes equal to  $E_v$ ). When the vertical Young's modulus is modeled with Eq.6, referring to Hardin and Bladford (1989) and Tatsuoka and Kohata (1995) among others, Eq.5 can be rewritten as Eq. 7.

$$E_{v}/f(e) = E_{v0}/f(e_{0})(\sigma_{v}'/\sigma_{0})^{n}$$
(6)

$$G_{vh}/f(e) = E_{v0}/f(e_0)/\{2(1+v_0)\} \cdot \{(\sigma_v, \sigma_h, v_0)^{1/2}/\sigma_0\}^n \cdot \{2(1-v_0)/(R^{-n/2} + aR^{n/2} - 2a^{1/2}v_0)\}$$
(7)

where,  $E_{v0}$  is is a value of  $E_v$  under a reference isotropic stress state of  $\sigma_v$ '= $\sigma_h$ '= $\sigma_0$  at a reference void ratio of  $e_0$ .

When it is assumed that  $G_{vh0}=E_{v0}/\{2(1+v_0)\}$ , Eq. 4 can be rewritten in a similar manner as:

$$G_{vh}/f(e) = E_{v0}/f(e_0)/\{2(1+v_0)\} \cdot \{(\sigma_v \cdot \sigma_h \cdot)^{1/2}/\sigma_0\}^n$$
(8)

Eq. 7 is different from Eq. 8 by a factor of  $\{2(1-v_0)/(R^{-n/2}+aR^{n/2}-2a^{1/2}v_0)\}$ , as appears in the last term of Eq. 7. When the inherent anisotropy is ignored (i.e.,  $\alpha=1.0$ ), this factor becomes unity under isotropic stress state (i.e., R=1.0).

The above modelings suggest that the  $\sigma_v$ ' and  $\sigma_h$ ' values affect the  $G_{vh}$  values in a combied manner. Attempts have been made by several researchers to compare the experimental data with the above modelings, while number of studies on effects of different anisotropic stress states on the shear modulus are limited (e.g., Yamashita and Suzuki, 1999, among others).

In the present study, therefore, drained torsional shear and triaxial tests were performed on hollow cylindrical specimens of dense Toyoura sand to investigate the effects of different anisotropic stress states on the quasi-elastic shear modulus, as well as those on the quasi-elastic vertical Young's modulus. These quasi-elastic deformation properties were measured externally by applying cyclic torsional and vertical loads with a strain amplitude of about 10<sup>-5</sup>, under several stress states in the course of isotropic consolidation and triaxial shearing.

# APPARATUS AND TESTING PROCEDURES

The torsional shear and triaxial testing apparatus that was employed in the present study is schematically shown in Fig.1. In order to improve the accuracy in controling small amplitude cyclic torsional loads under anisotropic stress states, a modification was made from the one employed by Koseki et al. (2000) with respect to the torsional loading device. Refer to Koseki et al. (2000) for the detailed explanations on the control of apparatus and measurement of data.

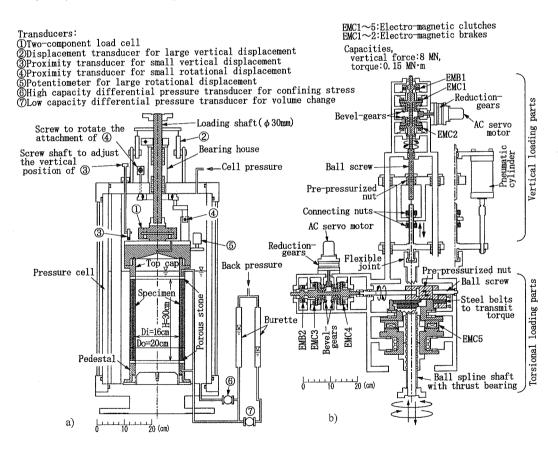


Figure 1. Torsional shear and triaxial testing apparatus; a) pressure cell and specimen, and b) torsional and vertical loading device

A hollow cylindrical specimen with an outer diameter of 20 cm, inner diameter of 16 cm and a height of 30 cm was prepared by pluviating air dried Toyoura sand ( $e_{\text{max}}$ =0.975,  $e_{\text{min}}$ =0.561) through air. Under the initial confining stress of 30 kPa, it had a relative density of 60% and was saturated by a combination of vacuuming, flushing with de-aired water, and back-pressurizing (refer to Ampadu and Tatsuoka, 1993, for detailed procedures). It was isotropically consolidated up to confining stress of  $\sigma_{\text{v}}$ '= $\sigma_{\text{h}}$ '=400 kPa, followed by reduction and restoration in the confining stress to 50 kPa and 100 kPa, respectively (Fig. 2a). Note that the inner and the outer cell pressures were kept equal to each other throughout the tests so that the circumferential stress was always equal to the radial stress.

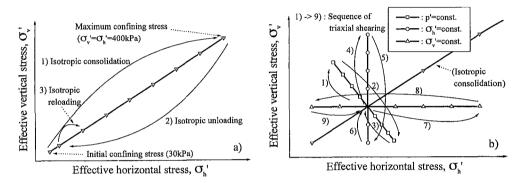


Figure 2. Schematic stress paths employed in a) isotropic consolidation and b) large cyclic shearing

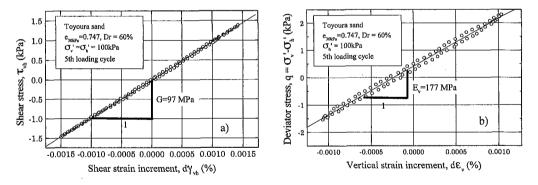


Figure 3. Typical results measured during isotropic consolidation with applying drained small cyclic loadings in a) torsional and b) vertical directions

During isotropic consolidation, after some aging about 10 minutes at several stress levels, six cycles of torsional and vertical loads were applied independently on the specimen, under both drained and undrained conditions with a single amplitude of about 0.0015 % and 0.0010 % for the shear strain increment  $d\gamma_{vh}$  and the vertical strain increment  $d\epsilon_v$ , respectively. Such small strain increments were evaluated externally by measuring rotational and vertical displacement of

the top cap with small-capacity proximity transducers (Fig. 1a). Typical results measured during the fifth loading cycle under drained condition are shown in Fig. 3. Since the measured stress-strain relationships were almost linear, the drained quasi-elastic shear modulus  $G_{vh}$  and the drained quasi-elastic vertical Young's modulus  $E_v$  were evaluated rather confidently as shown in the figures. The undrained moduli were evaluated in a similar manner based on the results measured under undrained condition, which are not reported in the present paper.

From isotropic stress state at  $\sigma_v$ '= $\sigma_h$ '=100 kPa, the  $\sigma_v$ ' value was increased until the value of the stress ratio R (= $\sigma_v$ '/ $\sigma_h$ ') became 2, while keeping the effective mean principal stress  $p'=(\sigma_v^2+2\sigma_h^2)/3$  constant at 100 kPa (i.e., with decreasing the  $\sigma_h$ ' value at  $d\sigma_h$ '=-1/2d $\sigma_v$ '). While keeping the same p', the  $\sigma_v$ ' value was decreased until the R value became 1/2, and then it was restored to 100 kPa. After this first large cyclic shearing, the second large cyclic shearing was conducted by deviating the  $\sigma_v$ ' value, while keeping  $\sigma_h$ ' constant at 100 kPa. It was followed by the third large cyclic shearing by deviating the  $\sigma_h$ ' value while keeping  $\sigma_v$ ' constant at 100 kPa. Figure 2b illustrates the stress paths employed in these large cyclic sharing stages.

After the above set of three large cyclic shearing stages that started from the isotropic stress state at  $\sigma_v$ '= $\sigma_h$ '=100 kPa, similar sets of three large cyclic shearing was conducted from the isotropic stress states at  $\sigma_v$ '= $\sigma_h$ '=200 kPa and 300 kPa. The final set of large cyclic shearing was conducted after applying a sustained shear stress  $\tau_{vh}$  of 50 kPa at  $\sigma_v$ '= $\sigma_h$ '=200 kPa.

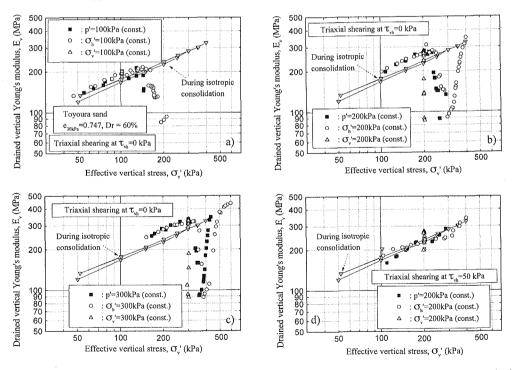


Figure 4. Drained quasi-elastic vertical Young's modulus versus effective vertical stress during triaxial shearing starting from stress states with  $\tau_{vh}$ =0 at a)  $\sigma_v$ '= $\sigma_h$ '=100 kPa, b)  $\sigma_v$ '= $\sigma_h$ '=200 kPa, c)  $\sigma_v$ '= $\sigma_h$ '=300 kPa, and d) with  $\tau_{vh}$ =50 kPa at  $\sigma_v$ '= $\sigma_h$ '=200 kPa

Since it took nearly one month to complete all the sets of large cyclic shearing, it was possible that some of the cell water penetrated the membrane into the specimen, as reported by Tatsuoka et al. (1988). Therefore, the volume change of specimen during triaxial shearing could not be obtained reliably from the volume change of water in a burrete that was connected to the specimen. Consequently, without correcting for the effects of change in the density of specimen, the measured values of quasi-elasitc moduli were compared to each other in the followings.

#### RESULTS AND DISCUSSIONS

# Drained quasi-elastic vertical Young's modulus

The values of  $E_v$  that were measured during isotropic consolidation and triaxial shearing are plotted in Fig. 4 versus the respective  $\sigma_v$ ' value where each  $E_v$  value was evaluated. When the  $\sigma_v$ ' values were smaller than the level of the isotropic effective stress from which the shearing started (i.e., when the  $\sigma_v$ ' values were smaller than 100, 200, 300 and 200 kPa in Figs. 4a through 4d, respectively), the relationships between  $E_v$  and  $\sigma_v$ ' that were measured during shearing were similar to those measured during isotropic consolidation. It is likely that a slight overall increase in the  $E_v$  values during shearing compared to those during the isotropic consolidation, as seen from Figs. 4b and 4c, may be due to densification of the specimen that

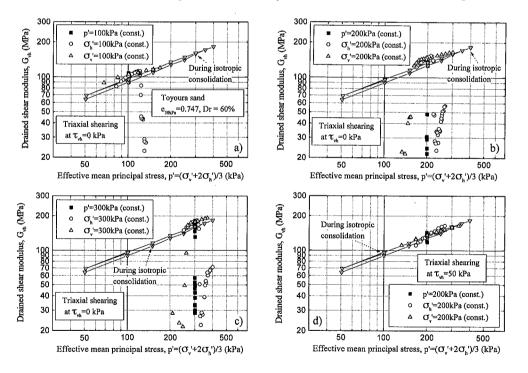


Figure 5. Drained quasi-elastic shear modulus versus effective mean principal stress p' during triaxial shearing starting from stress states with  $\tau_{vh}=0$  at a)  $\sigma_v'=\sigma_h'=100$  kPa, b)  $\sigma_v'=\sigma_h'=200$  kPa, c)  $\sigma_v'=\sigma_h'=300$  kPa, and d) with  $\tau_{vh}=50$  kPa at  $\sigma_v'=\sigma_h'=200$  kPa

occurred during previous large cyclic shearing stages.

On the other hand, when the  $\sigma_v$ ' value was increased from the level of the isotropic effective stress from which the shearing started, or when the  $\sigma_h$ ' value was decreased while keeping  $\sigma_v$ ' constant (i.e., under triaxial compression condition with  $\sigma_v$ '> $\sigma_h$ '), significant degradation in the  $E_v$  values was observed, as shown in Figs. 4a through 4c. In Figs. 4b and 4c, a recovery of the  $E_v$  values with the increase in  $\sigma_v$ ' was also observed. The extent of degradation in the  $E_v$  values was not pronounced in Fig. 4d, which were measured at a sustained shear stress of  $\tau_{vh}$ =50 kPa.

Interestingly, under the stress states at  $\tau_{vh}$ =0 (Figs. 4a through 4c), degradation in the  $E_v$  values started when the deviator stress  $q=\sigma_v$ '- $\sigma_h$ ' exceeded about 50 kPa. Irrespective of the current stress level of  $\sigma_v$ ' or  $\sigma_h$ ', the  $E_v$  values became minimal when the q value was about 100 kPa, and they started to recover when the q value was further increased. On the other hand, under the stress states with  $\tau_{vh}$ =50 kPa, no clear correlation could be found between  $E_v$  and q (Fig. 4d). Reasons for these peculiar behaviors under triaxial compression conditions are not known to the authors, but they may have been affected by non-uniform distribution of vertical and torsional shear stresses that were applied from the loading device to the specimen through the top cap.

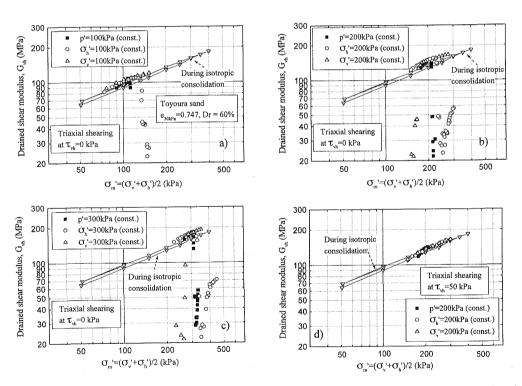


Figure 6. Drained quasi-elastic shear modulus versus  $\sigma_m'=(\sigma_v'+\sigma_h')/2$  during triaxial shearing starting from stress states with  $\tau_{vh}=0$  at a)  $\sigma_v'=\sigma_h'=100$  kPa, b)  $\sigma_v'=\sigma_h'=200$  kPa, c)  $\sigma_v'=\sigma_h'=300$  kPa, and d) with  $\tau_{vh}=50$  kPa at  $\sigma_v'=\sigma_h'=200$  kPa

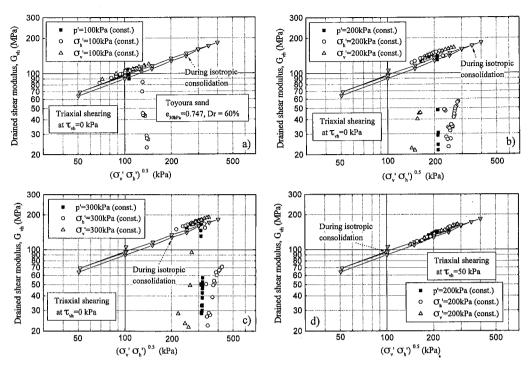


Figure 7. Drained quasi-elastic shear modulus versus  $(\sigma_v{}'\sigma_h{}')^{1/2}$  during triaxial shearing starting from stress states with  $\tau_{vh}$ =0 at a)  $\sigma_v{}'$ = $\sigma_h{}'$ =100 kPa, b)  $\sigma_v{}'$ = $\sigma_h{}'$ =200 kPa, c)  $\sigma_v{}'$ = $\sigma_h{}'$ =300 kPa, and d) with  $\tau_{vh}$ =50 kPa at  $\sigma_v{}'$ = $\sigma_h{}'$ =200 kPa

## Drained quasi-elastic shear modulus

Values of  $G_{vh}$  that were measured during isotropic consolidation and triaxial shearing are plotted versus the values of  $p'=(\sigma_v'+2\sigma_h')/3$ ,  $\sigma_m'=(\sigma_v'+\sigma_h')/2$  and  $(\sigma_v'\sigma_h')^{1/2}$  in Figs. 5 through 7, respectively. During triaxial compression, degradation in the  $G_{vh}$  values was observed under the stress states with  $\tau_{vh}=0$ , whereas it was not clearly observed with  $\tau_{vh}=50$  kPa. With  $\tau_{vh}=0$ , the  $G_{vh}$  value became minimal when the q value was about 100 kPa, followed by its recovery with further increase in q. These behaviors were qualitatively the same as observed on the  $E_v$  values.

On the other hand, except for the region of the extensive degradation, the  $G_{vh}$  values measured during triaxial shearing were in general consistent with those measured during isotropic consolidation under the same effective stress levels in terms of p',  $\sigma_m$ ' or  $(\sigma_v, \sigma_h')^{1/2}$ . In addition, it is seen from Figs. 6d, 7d and 8d that, when following the stress path with keeping p' constant at  $\tau_{vh}$ =50 kPa, the  $G_{vh}$  values were not constant but changed according to the subsequent change in the  $\sigma_m$ ' or  $(\sigma_v, \sigma_h')^{1/2}$  values. Similar behaviors were also observed in other figures with  $\tau_{vh}$ =0 kPa, when the region of the extensive degradation was excluded. Therefore, the  $G_{vh}$  values could be regarded as basically a function of  $(\sigma_v, \sigma_h')^{1/2}$  or  $(\sigma_v, \sigma_h')^{0.5}$ , rather than  $\sigma_v = (\sigma_v, \sigma_h')^{1/2}$ . Consequently, superiority of Eqs. 2 and 4 over Eq. 3 in modelling the quasielastic shear modulus could be obtained from the present test results.

# Comparison between measured and predicted quasi-elastic shear moduli

Measured values of  $G_{vh}$  are compared with those predicted based on the measured values of  $E_v$  using Eqs. 7 and 8 in Figs. 8 and 9, respectively. In the prediction, values of  $E_{v0}$  and n were set equal to 177 MPa and 0.44, respectively, based on the  $E_v$  values measured during isotropic consolidation as shown in Fig. 4. Note that, in the present study,  $E_{v0}$  was defined under a reference stress state of  $\sigma_0$ =100 kPa at a reference void ratio of  $e_0$ =0.747 which is equal to the initial void ratio of the specimen under a confining stress of 30 kPa. Values of a and  $v_0$  were set to 1.1 and 0.15, respectively, based on the test results by Hoque and Tatsuoka (1998).

Except for the region of the extensive degradation, the measured  $G_{vh}$  values were larger by about 50 % than those predicted using Eqs. 7 and 8, while the general tendency could be reasonably simulated by these formulations. Reasons for the quantitative discrepancy between measured and predicted  $G_{vh}$  values are not known to the authors, but they may be due to the possible effect of end restraint at the both ends of the specimen.

It can be also seen from Figs. 8 and 9 that, under the test conditions employed in the present study (i.e., under the stress ratio  $R=\sigma_{\rm v}'/\sigma_{\rm h}'$  ranging between 1/2 and 2), the difference between the  $G_{\rm vh}$  values predicted using Eqs. 7 and 8 was not noticeable. This is because the ratio of the

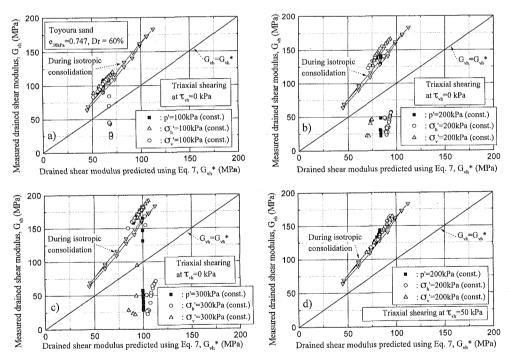


Figure 8. Comparison of drained quasi-elastic shear moduli that are predicted using Eq. 7 and measured during triaxial shearing starting from stress states with  $\tau_{vh}$ =0 at a)  $\sigma_v^2$ = $\sigma_h^2$ =100 kPa, b)  $\sigma_v^2$ = $\sigma_h^2$ =200 kPa, c)  $\sigma_v^2$ = $\sigma_h^2$ =300 kPa, and d) with  $\tau_{vh}$ =50 kPa at  $\sigma_v^2$ = $\sigma_h^2$ =200 kPa

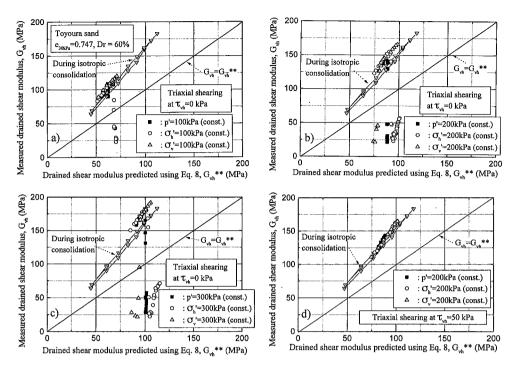


Figure 9. Comparison of drained quasi-elastic shear moduli that are predicted using Eq. 8 and measured during triaxial shearing starting from stress states with  $\tau_{vh}$ =0 at a)  $\sigma_v$ '= $\sigma_h$ '=100 kPa, b)  $\sigma_v$ '= $\sigma_h$ '=200 kPa, c)  $\sigma_v$ '= $\sigma_h$ '=300 kPa, and d) with  $\tau_{vh}$ =50 kPa at  $\sigma_v$ '= $\sigma_h$ '=200 kPa

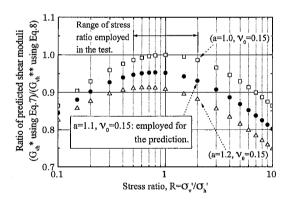


Figure 10. Ratio of predicted Gvh values using Eq. 7 to those using Eq. 8 versus stress ratio

predicted  $G_{vh}$  values using Eq. 7 to those using Eq. 8, which is eaual to  $\{2(1-v_0)/(R^{-n/2}+aR^{n/2}-2a^{1/2}v_0)\}$ , does not change largely from unity under the above range of R as shown in Fig. 10. Therefore, when the inherent anisotropy in the quasi-elastic Young's moduli is not large (i.e., when the a value is close to 1.0), Eq. 8 can be regarded as an approximation of Eq. 7.

#### CONCLUSIONS

The results from drained torsional and triaxial shear tests on Toyoura sand with externally measuring change of quasi-elastic deformation properties could be summarized as follows.

Under triaxial extension condition, the shear modulus that was defined on the vertical plane was found to be basically a function of  $\sigma_m' = (\sigma_v' + \sigma_h')/2$  or  $(\sigma_v' \sigma_h')^{0.5}$ , where  $\sigma_v'$  and  $\sigma_h'$  are the vertical and horizontal stresses, respectively. The results could be also explained by a cross-anisotropic hypo-quasi-elastic model, considering inherent and stress state-induced anisotropy in modeling of vertical and horizontal Young's moduli and Poisson's ratios, as proposed by Tatsuoka et al. (1999).

Under triaxial compression condition, extensive degradation in the values of the shear modulus and the vertical Young's modulus was observed with the increase in the deviator stress  $q=\sigma_v'-\sigma_h'$ , and the extent of degradation was reduced by applying sustained shear stress on the vertical and horizontal planes. When the q value exceeded about 100 kPa, the quasi-elastic deformation properties started to recover with increase in the q value. It was estimated that these peculiar behaviors are affected by non-uniform distribution of vertical and torsional shear stresses applied to the specimen, on which further investigations are required.

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